

Bubble Sketch: A High-performance and Memory-efficient Sketch for Finding Top- k Items in Data Streams

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Abstract

Sketch algorithms are crucial for identifying top- k items in large-scale data streams. Existing methods often compromise between performance and accuracy, unable to efficiently handle increasing data volumes with limited memory. We present Bubble Sketch, a compact algorithm that excels in both performance and accuracy. Bubble Sketch achieves this by (1) Recording only full keys of hot items, significantly reducing memory usage, and (2) Using *threshold relocation* to resolve conflicts, enhancing detection accuracy. Unlike traditional methods, Bubble Sketch eliminates the need for a Min-Heap, ensuring fast processing speeds. Experiments show Bubble Sketch outperforms the other seven algorithms compared, with the highest throughput and precision, and surpasses HeavyKeeper in accuracy by up to two orders of magnitude.

CCS Concepts

• Information systems → Data stream mining.

Keywords

Data stream; Approximate algorithm; Sketch; Top- k

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1 Introduction

Detecting top- k frequent items in data streams is essential for applications like data mining [14, 16, 18, 36, 41, 42, 44, 45], traffic measurement [2, 6, 17, 32, 35, 46], and data security [3, 4, 8, 11, 12, 20]. For instance, data centers must detect significant data traffic items to balance load effectively, while social platforms detect frequent interactions to discern user connections [9, 19, 34, 38, 40].

In the big data era, the speed and volume of data streams make accurate item tracking challenging [22, 23]. Approximate solutions like sampling often lack accuracy. Advanced algorithms that operate on individual items need fast, consistent updates, avoiding slow DRAM in favor of limited-capacity SRAM [13, 39]. Sketch-based methods are popular for their efficiency in time and space with acceptable error margins [5, 7, 12, 24, 26, 31].

Top- k sketch algorithms are either *Min-Heap-based* or *Save-all-potentiality*. *Min-Heap-based* methods use a Min-Heap to track top- k items [29] by adding new items to the sketch and replacing the lowest frequency item in the heap if needed. This method is slow and memory-inefficient due to constant updates, as seen in HeavyKeeper [43], which needs $360 * k$ bits of memory. *Save-all-potentiality* methods store potential top- k items as <full key, frequency> pairs [25] in a single sketch, avoiding the Min-Heap but suffering from poor accuracy and high insertion overhead when memory is limited. Both methods struggle with performance and accuracy under tight memory constraints, leading to the question: **Can we develop a compact sketch algorithm for top- k detection that excels in both speed and accuracy?**

We introduce **Bubble Sketch** (BS), which improves memory efficiency with a unique bucket structure and *threshold relocation* using *bubble sorting*. Key features of Bubble Sketch include:

- (1) **Efficient Bucket Layout:** Buckets store "hot" entries as $\langle \text{full key}, \text{frequency} \rangle$ pairs and "cold" entries as smaller $\langle \text{fingerprint}, \text{frequency} \rangle$ pairs, saving memory.
- (2) **Real-Time Bubble Sorting:** Entries are sorted in real-time, keeping hot items in the hot entries and exchanging keys and fingerprints as needed for efficient top- k queries.
- (3) **Threshold Relocation for Accuracy:** Potential top- k item collisions are resolved by placing one in an alternate bucket, improving accuracy.

Implemented in C++ and tested on various datasets, Bubble Sketch shows superior performance, achieving the highest throughput and accuracy among current algorithms. It outperforms HeavyKeeper by two orders of magnitude in accuracy and is 1.5 times faster and more accurate than Waving Sketch.

2 Background

2.1 Problem Statement

Data Stream Model: As shown in Figure 1, a data stream $\mathcal{P} = \{p_1, p_2, \dots, p_N\}$ contains N items and n distinct items. Items in data \mathcal{P} can be categorized into n non-overlapping distinct items: $\mathcal{E} = \{e_1, e_2, \dots, e_n\}$. The number of items in e_i is called the frequency of e_i (abbreviated as $e_i.f$ or f_i), and so we have $\sum_{i=1}^n f_i = N$. A distinct item also has a unique ID to identify itself, represented as $e_i.id$ (for example, we often use the 5-tuple headers to identify a TCP distinct packet in a network stream).

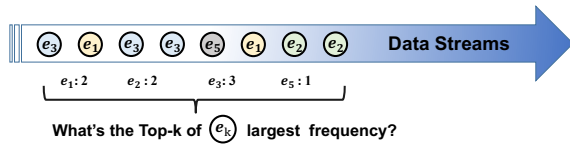


Figure 1: Finding Top- k Items.

Top- k Items Detection: Top- k items detection outputs the ID and frequency of the most frequent k items in a data stream, denoted as $(e_1, f_1), (e_2, f_2), \dots, (e_k, f_k)$.

2.2 Related Work

Sketches are widely used for finding top- k items in data streams and are generally divided into Min-Heap-based and Save-all-potentiality-based solutions. Min-Heap-based methods use a Min-Heap to store top- k items. **CM Sketch + Min-Heap** [10] hashes items to multiple counters and updates the Min-Heap using the minimum counter value, which can misidentify cold items as hot and slows processing due to frequent updates. **HeavyKeeper** (HK) [43] and **Cuckoo Counter** (CC) [33] also suffer from throughput issues and high memory usage due to the Min-Heap.

Save-all-potentiality methods store all potential top- k items within the data structure. **Space-Saving** (SS) [30] replaces the smallest existing item with new items, but its accuracy is limited by not considering data stream characteristics. **Unbiased Space-Saving** (USS) [37] improves frequency estimation for multi-node scenarios but is less effective for single nodes. **Waving Sketch** [21] requires traversing all entries to extract top- k items and faces memory issues by storing complete IDs in each cell. **Double-Anonymous Sketch** (DAS) [47] aims for fairness but suffers from low throughput due to its two-layer structure.

2.3 Why Yet Another One?

Min-Heap-based algorithms, like Cuckoo Counter, achieve high precision but suffer from throughput issues. Save-all-potentiality algorithms, like Waving Sketch, lead to poor precision and high memory usage in tight memory scenarios. DAS improves precision but has low throughput due to its two-layer structure. To address these limitations, we propose Bubble Sketch, a single-layer algorithm that adapts to data skewness, reducing memory usage and enhancing precision without needing a Min-Heap. This design significantly boosts throughput, making BS approximately 8 times faster than DAS and the fastest among current top- k algorithms.

3 Bubble Sketch Framework

3.1 Data Structure

The Bubble Sketch data structure, illustrated in Figure 2, comprises two arrays, A_1 and A_2 , designed to handle potential conflicts effectively. Each array has w buckets, and each bucket contains B entries of varying sizes, labeled $\{entry_1, entry_2, \dots, entry_B\}$. Entries are the basic units, and buckets serve as access units. The bit width of entries increases progressively, forming a ladder-like distribution. The largest entry, $entry_B$, stores hot items (top- k items) with an ID and a large $counter$ for the $\langle \text{full key}, \text{frequency} \rangle$ pair. The smaller entries, designated for secondary-hot or cold items, contain a $fingerprint$ and a smaller $counter$ for the $\langle \text{fingerprint}, \text{frequency} \rangle$ pair. While the width of ID and $fingerprint$ remains constant across entries, the counter width varies. This design leverages the skewness of data streams, optimizing storage by accommodating as many conflicting items as possible. The *real-time bubble sorting* technique dynamically relocates streams within the same bucket, ensuring hot streams occupy larger entries and cold streams smaller ones. Each bucket acts as a hash unit, requiring only $O(1)$ access time and enabling linear traversal within the bucket. This design ensures high throughput during updates. Buckets are aligned with the machine word length (e.g., 128 bits) to maximize entry manipulation per memory access.

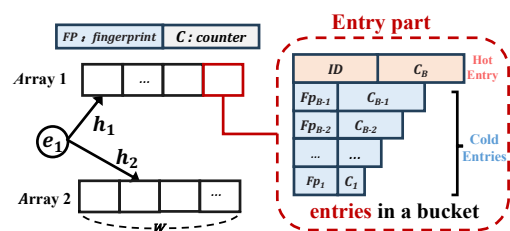


Figure 2: The data structure of Bubble Sketch.

3.2 Algorithm and Operations

For conciseness, we use $A_i[h][r]$ to represent the entry r of bucket h in array i . Initially, all entries are initialized to zero. Below we introduce the implementation process of the two main operations of our algorithm in turn: insertion and query.

3.2.1 Insert.

Each time an incoming item e is inserted, we first compute two hash values using formulas: $h_1 = \text{hash}_1(e)$ and $h_2 = \text{hash}_2(e)$, to identify two candidate buckets in two arrays, $A_1[h_1]$ and $A_2[h_2]$. The entries in these buckets are then traversed to find whether

(a) Insertion without threshold relocation

(b) Insertion with threshold relocation

Figure 3: Insert matching items.

Item i already exists. If i is found in the bucket B_1 as shown in Figure 3(a), its corresponding entry's counter is incremented by 1. The entries within B_1 are then sorted according to their counter values (frequencies), to make sure hot items are always at the top. When items switch between hot and cold entries, their IDs and fingerprints would be exchanged. If, after sorting, the updated entry becomes the second-largest entry and the value of c_1 remains below the threshold, the insertion process is complete.

If the updated frequency $c_1 + 1$ exceeds the threshold, it indicates that there may be two hot items coexisting within the bucket. Furthermore, if the frequency also surpasses the counter value c_0 of the topmost item in the alternative bucket B_2 , we initiate the relocation mechanism, as shown in Figure 3(b). The fingerprint of i is converted to ID and stored together with its frequency in the hot entry of B_2 . Additionally, the original items in B_2 undergo a downward shift, where B_2 's ID is updated to B_2 's $c_1 + 1$, and the item in entry B_2 is discarded.

If i is a new item (does not exist in the candidate bucket), it would be inserted into the first empty entry in either B_1 or B_2 and the associated counter is set to 1. In the event that both candidate buckets are full, we choose either B_1 or B_2 at random and decrement its counter by 1. Alternative replacement strategies, such as Exponential-weakening decay [43], Probability replacement [28, 37] may also be employed at this stage. If the frequency decays to 0, we insert into the corresponding entry. Otherwise, i would be discarded.

The CA4B > 3 A4 > 20C mechanism relies heavily on the value of c . To determine c , we use a global counter to dynamically monitor the maximum frequency f_{max} . According to the Zipf distribution, where U represents skewness and the frequency of the k th largest item is $1/k^U$ times that of the largest item, we set

$$c = f_{max} \cdot \frac{1}{k^U}$$

to identify the top- k items. Typically, $U = 1$ is used. Higher U values decrease, causing more frequent evictions.

3.2.2 Top-k Query

For top- k queries, we only need to focus exclusively on the items contained in the hot entry $entry_H$. This is accomplished by looping through all hot entries in the array, subsequently sorting them, and then extracting the top- k items along with their frequency fields for reporting.

Figure 4: An running example of Bubble Sketch.

3.3 A Running Example

Figure 4 illustrates a running example of BS for insertion. The parameters are configured as follows: We assume $c = 4$ and $C = 4B > 3$. In this example, $C = CA_4$ in each bucket is the hot entry, and only the buckets involved in each array are displayed.

Case 1, to insert i_5 : The two candidate buckets after hashing are B_1 and B_2 . A search in these buckets reveals that i_5 already exists in entry B_2 . Consequently, B_2 's counter is incremented by 1, and the entry is updated to $i_5 : fp \cdot 40$. The whole bucket is then sorted in order. As a result, i_5 is shifted up to B_2 while i_7 is shifted down to B_2 .

Case 2, to insert i_2 : The item i_2 is not found in the corresponding buckets B_1 and B_2 , but an empty entry exists in B_1 . Therefore, $i_2 : fp \cdot 10$ is inserted into this entry.

Case 3, to insert i_8 : The fingerprints in all entries do not match i_8 . The smallest entry B_2 is selected (randomly). The counter is decayed to 0, and i_8 replaces i_4 .

Case 4, to insert i_3 : Searching through the two buckets, i_3 is found in entry B_1 . The count of i_3 is incremented by 1, updating the entry to $i_3 : fp \cdot 80$. As i_3 's frequency exceeds the threshold and surpasses the frequency in the top entry B_1 in the alternate bucket B_2 , B_2 's ID is shifted down to accommodate i_3 . Item $i_3 : fp \cdot 80$ is then placed into entry B_2 . Concurrently, item $i_6 : fp \cdot 199$ is shifted to B_2 , becoming $i_6 : fp \cdot 199$, with its ID replaced by fingerprint, and all other existing entries are shifted down by one position. After $i_3 : fp \cdot 80$ is relocated to B_2 and the original entry B_1 is cleared, the empty entry appears in B_1 since the bucket needs to be sorted in ascending order.

4 Performance Evaluation

4.1 Experiment Setup

The machine is Ubuntu 20.04 with an Intel i7-9700 CPU @ 3.0GHz and 16GB of DRAM. We use CAIDA Datasets obtained from the Equinix-Chicago data, as documented in [5], and align with the datasets in [42]. We consider the following metrics:

Throughput: It is calculated as $\frac{\#}{t}$, where $\#$ is the total number of items processed, and t is the time taken to run the algorithm.

AAE: AAE is defined as $\frac{1}{j} \sum_{i=1}^j |f_i - \hat{f}_i|$. Here, f_i is the actual frequency of an item i , \hat{f}_i represents its estimated frequency, and j is the estimated set of top- k items.

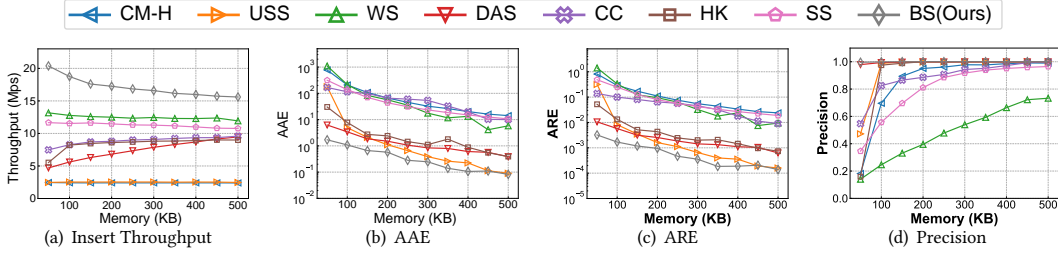


Figure 5: Top- k estimation - vs. Memory - CAIDA.

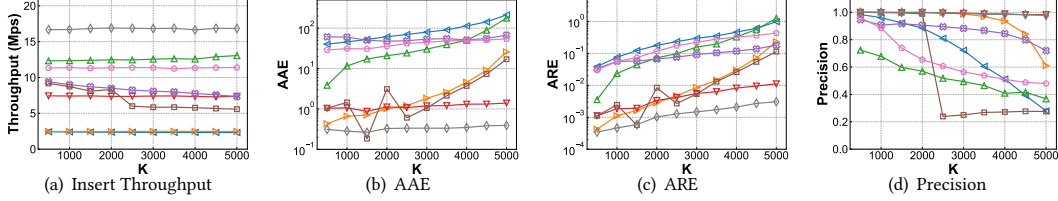


Figure 6: Top- k estimation - vs. k - CAIDA.

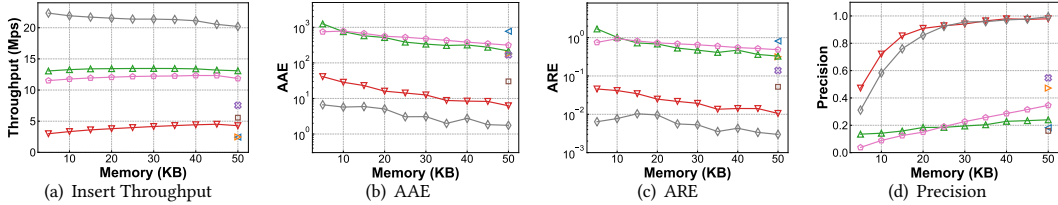


Figure 7: Top- k estimation - vs. Tight memory.

ARE: ARE is defined as $\frac{1}{|\mathcal{E}_k|} \sum_{e_i \in \mathcal{E}_k} |f_i - \tilde{f}_i| / f_i$.

Precision: Precision (top- k) is defined as the proportion of accurately identified top- k items out of the total k items reported.

4.1.1 Experimental Configuration.

The Bubble Sketch (BS) algorithm is compared with CM sketch + Heap (CM-H) [10], Unbiased Space-Saving (USS) [37], Cuckoo Counter (CC) [33], Waving Sketch (WS) [21], HeavyKeeper (HK) [43], Lossy Counting (LC) [27], Double-Anonymous Sketch (DAS) [47], and Space-Saving (SS) [30] using their open-source codes.

4.2 Experiment Results

4.2.1 Experiments on Throughput. With Memory size ranges from 50KB to 500KB. Figures 5(a) show BS consistently surpasses other algorithms in throughput, even with small memory sizes like 50KB. BS achieves twice the throughput of WS and 2.5 times that of HK. With memory fixed at 250KB and k varying from 500 to 5000, Figures 6(a) show BS maintaining a distinct throughput advantage. BS’s design avoids the Min-Heap structure, requiring fewer operations per insertion, achieving 2.3 times the throughput of CC.

4.2.2 Experiments on AAE. Figures 5(b) compare the AAE of different algorithms. BS maintains stable and low AAE values, almost an order of magnitude lower than HK and significantly better than USS within the memory range of 50KB to 500KB. Figures 6(b) show BS’s AAE is superior across all k , maintaining low AAE even with increasing k . In contrast, HK and USS’s performance deteriorates.

4.2.3 Experiments on ARE. Figure 5(c) shows that BS has lower error rates than CM-H in low-memory conditions. BS’s stability is

due to its full storage of item IDs, reducing error rates. Figures 6(c) show BS’s ARE is significantly lower than DAS’s and better than other sketches, except for HK at k of 1500.

4.2.4 Experiments on Precision. BS consistently achieves the highest precision across all memory scenarios. Figure 5(d) shows BS delivering 100% precision with small memory usage, outperforming other algorithms significantly. Figures 6(d) show BS achieving 100% precision as k increases from 500 to 5000, outperforming other algorithms whose precision declines with increasing k .

4.2.5 Experiments on Tight Memory. Experiments on the CAIDA dataset span memory ranges from 5KB to 50KB with k fixed at 1000. Figure 7 shows only BS, DAS, WS, and SS perform well in this range. BS achieves the highest throughput and lowest AAE and ARE in tight-memory scenarios, outperforming DAS, WS, and SS. Figure 7(d) shows BS’s precision is lower than DAS below 20KB but converges to 1 within the 20KB to 50KB range.

5 Conclusion

Finding top- k hot items in data streams plays an important role in real-world applications. To comprehensively improve existing algorithms in terms of speed and accuracy, we propose a high-performance and memory-efficient algorithm called Bubble Sketch. It maintains the ordering and replacement of items within a bucket to achieve the effect of isolating hot and cold items. To verify its effectiveness, we deployed our sketch and 7 other sketches on the software platform and conducted the top- k items detection experiment. Extensive results show that our proposed Bubble Sketch has excellent accuracy/precision and the highest throughput.

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